

#16

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

| | |
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| Title of Invention | System and Method for Annotating an Electronic Document Independently of its Content |
|--------------------|--|

Application Number: 09/455805



Confirmation Number: 5591

First Named Applicant: Susan Woolf

Attorney Docket Number: 003797.78802

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JAN 23 2004

Art Unit: 2176

Examiner: M Nguyen

Technology Center 2100

Search string: (5437295 or 6018342 or 6647534).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

| init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass |
|------|----------|------------|------------|-----------------|------|-------|----------|
| | 1 | 5437295 | 1994-09-13 | Akulnick et al. | | | |
| | 2 | 6018342 | 2000-01-25 | Bristol | | | |
| | 3 | 6647534 | 2003-11-11 | Graham | | | |

01/21/2004 SSESHE1 00000001 190733 09455805

Remarks

01 FC:1806 180.00 DA

Note: Remarks are not for responding to an office action.

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with

the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed document should it be applied as a reference against the claims of the present application. It is respectfully requested that the Examiner fully consider the document, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement. Accordingly, a single fee of \$180 is believed to be due pursuant to 37 CFR 1.97(d) and 1.17(p) for consideration of this reference. The Office is authorized to charge Deposit Account No. 19-0733 for this fee. If there are any discrepancies regarding this fee, please charge or credit Deposit Account No. 19-0733.

Signature

| | |
|---------------|------|
| Examiner Name | Date |
| | |



Electronic Filing System (EFS) Data

Electronic Patent Application Submission

USPTO Use Only

EFS ID: 53873

Application ID: 09455805



Title of Invention: System and Method for
Annotating an Electronic
Document Independently of its
Content

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JAN 23 2004

First Named Inventor: Susan Woolf
Domestic/Foreign Application: Domestic Application

Technology Center 2100

Filing Date: 1999-12-07

Effective Receipt Date: 2004-01-16

Submission Type: Information Disclosure
Statement

Filing Type:

Confirmation number: 5591

Attorney Docket Number: 003797.78802

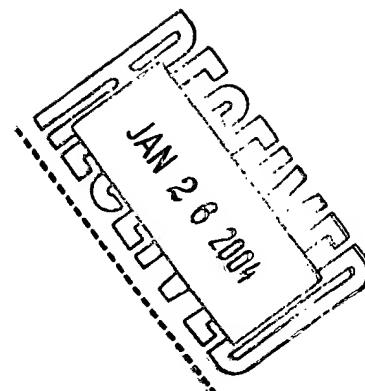
Total Fees Authorized: 180.0

Payment Category: Deposit Account

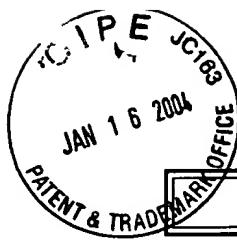
Deposit Account Number: 190733

Deposit Account Name: Gary D Fedorochko

RAM Payment Status: RAM has not been processed



Digital Certificate Holder: cn=Gary D. Fedorochko,ou=Registered Attorneys,ou=Patent and
Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US
Certificate Message Digest: 7f08081761dd0ecb322d0ec6489f306f49977dd4



TRANSMITTAL

Electronic Version v1.1
Stylesheet Version v1.1.0

| | | |
|-------------------------|--|--|
| Title of Invention | System and Method for Annotating an Electronic Document Independently of its Content | |
| Application Number: | 09/455805 | |
| Date: | 1999-12-07 | |
| First Named Applicant: | Susan D Woolf | |
| Confirmation Number: | 5591 | |
| Attorney Docket Number: | 003797.78802 | |

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JAN 23 2004
Technology Center 2100

I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.

I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

| Submitted by: | Elec. Sign. | Sign. Capacity |
|---|-------------------|----------------|
| Mr. Gary D Fedorochko Registered Number: 35509 | Gary D Fedorochko | Attorney |

| | |
|---------------------------|--|
| Documents being submitted | Files |
| us-fee-sheet | 379778802eIDS011604-usfees.xml us-fee-sheet.xsl us-fee-sheet.dtd |
| us-ids | 379778802eIDS011604-usidst.xml us-ids.dtd us-ids.xsl |

Comments



FEE TRANSMITTAL

Electronic Version v08
Stylesheet Version v08.0

| Title of Invention | System and Method for Annotating an Electronic Document Independently of its Content | | | | | | | | | | |
|--|--|------------------------|-------------|-----------|-------------|---|------|-----|-----|--|--|
| Application Number: | 09/455805 | | | | | | | | | | |
| Date: | 1999-12-07 | RECEIVED | | | | | | | | | |
| First Named Applicant: | Susan D Woolf | JAN 23 2004 | | | | | | | | | |
| Attorney Docket Number: | 003797.78802 | Technology Center 2100 | | | | | | | | | |
| Art Unit: | 2176 | | | | | | | | | | |
| Examiner: | M Nguyen | | | | | | | | | | |
| TOTAL FEE AUTHORIZED \$180 | | | | | | | | | | | |
| Patent fees are subject to annual revisions on or about October 1st of each year. | | | | | | | | | | | |
| BASIC FILING FEE | | | | | | | | | | | |
| <table border="1"><thead><tr><th>Fee Description</th><th>Fee Code</th><th>Amount \$</th><th>Fee Paid \$</th></tr></thead><tbody><tr><td>Submission Of Information Disclosure Stmt Fee</td><td>1806</td><td>180</td><td>180</td></tr></tbody></table> | | Fee Description | Fee Code | Amount \$ | Fee Paid \$ | Submission Of Information Disclosure Stmt Fee | 1806 | 180 | 180 | | |
| Fee Description | Fee Code | Amount \$ | Fee Paid \$ | | | | | | | | |
| Submission Of Information Disclosure Stmt Fee | 1806 | 180 | 180 | | | | | | | | |
| AUTHORIZED BILLING INFORMATION | | | | | | | | | | | |
| The commissioner is hereby authorized to charge indicated fees and credit any overpayments to: | | | | | | | | | | | |
| Deposit account number: | 190733 | | | | | | | | | | |
| Deposit name: | Banner and Wiltcoff | | | | | | | | | | |
| Deposit authorized name: | Gary D Fedorochko | | | | | | | | | | |
| Signature: | Gary D Fedorochko | | | | | | | | | | |
| Date (YYYYMMDD): | 2004-01-16 | | | | | | | | | | |
| Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17. | | | | | | | | | | | |